

Senate Bill 123

Treatment Provider Certification Process

2003 SB123 is an Alternative Sentencing Policy for
Non-Violent Drug Possession Offenders

Senate Bill 123

On November 1, 2003 legislation became effective which provides that certain adult offenders convicted for a first or second drug possession be sentenced to Community Corrections intensive supervision and be required to successfully complete a certified drug treatment program in lieu of incarceration; thus reserving prison beds for serious, violent offenders.

Partners

There are five primary partners in the SB123 program; each with their own responsibilities.

- The Kansas Department of Aging and Disability Services
- The Behavioral Sciences Regulatory Board
- The Kansas Department of Corrections
- The Kansas Sentencing Commission
- Community Corrections Agencies

Kansas Department of Aging and Disability Services

The Kansas Department of Aging and Disability Services is the licensing and regulatory body of substance abuse treatment providers for the state of Kansas.

Behavioral Sciences Regulatory Board

The Kansas Behavioral Sciences Regulatory Board (BSRB) is the licensing and regulatory body for addiction counselors in the state of Kansas.

Kansas Department of Corrections

- Certifies treatment providers who wish to assess and treat SB 123 clients.
- Provides Cognitive Behavioral Intervention Curriculum training to addictions counselors.
- Coordinates and conducts treatment provider site visits.
- Collaborates with treatment providers in coordination with KDADS the Kansas Sentencing Commission (KSSC) and Community Corrections agencies.

Kansas Sentencing Commission

- Determines billable services (modalities and cost caps)
- Reimburses providers for SB123 treatment services rendered
- Receives and processes offender reimbursements for treatment
- Analyzes SB 123 data to identify potential improvements to the program

Community Corrections

- Completes offender criminogenic risk/needs assessment (LSI-R).
- Supervises clients sentenced to probation and SB123 treatment services.
- Refers clients to KDOC certified SB123 treatment providers.
- Communicates and collaborates with treatment providers/counselors to ensure supervision and treatment plans are in alignment
- Holds offenders accountable for violations of supervision and/or treatment program requirements through use of graduated sanctions up to and including removal from treatment and revocation of supervision.

Treatment Provider Requirements

- Submit to KDOC an Implementation Plan detailing how they will integrate a cognitive behavioral intervention curriculum into SB123 client treatment.
- Be licensed by KDADS and employ counselors licensed by the BSRB.
- Employ counselors certified in a Cognitive Behavioral Intervention Curriculum (*exceptions apply, see next slide*).
- Employ counselors certified in administration of the Substance Abuse Subtle Screening Inventory (SASSI).

Treatment Provider Requirements

Exception:

Agencies performing ONLY one or more of the following DO NOT need to employ counselors certified in a Cognitive Behavioral Intervention Curriculum:

- Assessments
- Social Detoxification
- Drug Education services

The treatment provider must still be licensed by KDADS and counselors must still be licensed by the BSRB and certified to complete the SASSI.

Counselors Requirements

- Be licensed by the Behavioral Sciences Regulatory Board (BSRB).
- Be trained in a Cognitive Behavioral Intervention Curriculum provided by KDOC

Counselors not in compliance with the above stated requirements will not be considered for certification by KDOC.

Treatment Provider Application Process - Step 1

Select and Complete Training in a Cognitive Behavioral Intervention Curriculum

- Substance Abuse Treatment (SAP)
- Thinking For a Change (T4C)
- Cognitive Behavioral Intervention Concepts

Treatment Provider Application Process - Step 2

Submit Implementation Plan to KDOC

- Implementation Plan details how the Cognitive Behavioral Intervention Curriculum will be integrated into SB123 client treatment.
- This plan must include all relevant documentation:
 1. Proof of treatment provider licensure by KDADS.
 2. Proof of employed counselor's licensure by the BSRB.
 3. Proof of employed counselor's training in a Cognitive Behavioral Intervention Curriculum.
 4. Proof of employed counselor's training to administer the SASSI.

Treatment Provider

Application Process - Step 3

- Submission of an Implementation Plan does NOT guarantee approval to provide treatment under SB123.
- The approval process may be swift or as lengthy as several months, depending on the detail provided in your plan as well as responsiveness to any questions raised by KDOC during the review process.
- Agencies not responding to Implementation Plan questions posed by KDOC personnel WILL NOT be approved.
- Approval of an Implementation Plan does NOT guarantee referrals
 - Treatment agencies are responsible to contact Community Corrections agencies to secure clients referrals
 - Community Corrections has discretion regarding referrals
 - KDOC is not involved in the client referral process

Notification of Application Approval

Treatment providers will receive an Approval Packet from KDOC when tentative approval of their Implementation Plan has been granted.

Final approval by KDOC will be contingent upon the treatment provider completing the documentation included in the Approval Packet and returning it to KDOC.

Once the required documentation is received by KDOC the treatment provider will be officially approved and their name will be added to the list of providers certified to deliver SB123 assessment and treatment services. Notification of their inclusion in the list will be provided to Community Corrections, the KSSC and Beacon Health Options, Inc.

Cognitive Behavioral Intervention Curriculum Training

To apply for training session please complete a registration form and mail or email it with a current copy of your BSRB license to KDOC.

The registration form can be found at on the KDOC website at:

<https://www.doc.ks.gov/SB123/2003-sb123-training>

Please submit your registration form as early as possible.

Counselors registering for classes that are full will be assigned to the next available session and placed on a waiting list.

Registration confirmation emails are sent 30 days prior to training dates.

Site Visits

Post-Implementation Review

Type of visit performed at regular intervals as determined by the KDOC.

An Exceptional, Meets Expectations, Does Not Meet Expectations or Unsatisfactory rating will be issued upon completion of the review.

Special Review

Type of visit performed when a Post-Implementation Review yielded a Does Not Meet Expectations or Unsatisfactory rating. This review will be performed at an interval determined by KDOC.

Note: Treatment Providers may request Technical Assistance reviews from KDOC at any time.

Post-Implementation Review

KDOC will send treatment provider a letter informing of the time and date of the site visit and copy of the consultation guide.

The review is a one day process and includes, but is not limited to:

- Review of documentation supporting the integration of the Cognitive Behavioral Intervention Curriculum;
- Review of required client documentation specific to Senate Bill 123;
- Review of required treatment provider and counselor documentation specific to Senate Bill 123;
- Group observation;
- Client interviews, and;
- Staff interviews.

Post-Implementation Review

- A draft report will be sent to the treatment provider within 30 days of the site visit
- The treatment provider will have an opportunity to respond to the site visit findings and recommendations including completion of a Corrective Action Plan (CAP).
- If the treatment provider fails to submit a CAP, it will be removed from the approved provider list and Community Corrections, KSSC and Beacon Health Options, Inc. will be notified.
- After receiving the treatment providers response KDOC will send the Final Report within 30 days.

Follow up

- KDOC will follow-up with the treatment provider at regular intervals to monitor progress of the Corrective Action Plan until all findings have been resolved.

Maintaining Certification

- Remain in compliance with KDADS licensing standards and retain a current KDADS license.
- Notify KDOC of any proposed changes or modifications to the approved Implementation Plan.
- Receive KDOC approval PRIOR to implementing proposed changes or modifications.
- Adhere to the Implementation Plan/Addendum that received KDOC approval with regard to programming

Maintaining Certification

- Respond in a timely and professional manner to communications and requests for information or documentation from Community Corrections, KSSC and KDOC.
- Comply with and fully participate in KDOC site visits
- Retain on staff one or more SB 123 certified counselors
- Retain on staff one or more counselors certified in administration of the SASSI

Maintaining Certification

- Notify KDOC of any and all SB 123 staffing changes via the SB 123 Staffing Change Notification Form within 5 working days of any staff departure or addition.

Rescinding Certification

- Treatment providers failing to meet KDOC requirements will be considered non-compliant.
- The Kansas Department of Corrections as the Certification Agent, may remove non-compliant providers from the Approved Provider Listing therefore; the agency will not be eligible for additional referrals of SB 123 clients.

SUMMARY

New
Provider

Submit to KDOC the SB 123 Implementation Plan Format

Counselor
Requirements

Certification/Licensure with KDOC, BSRB, and SASSI

Site
Visit

Review documentation of the Cognitive Behavioral Tools Curriculum , client documentation, group observation, client interviews and staff interviews

Follow
Up

KDOC will follow-up with provider to monitor progress of the Corrective Action Plan until the findings have been resolved

Maintain
Certification

Comply with KDOC program requirements

Rescinding
Certification

KDOC may remove non-compliant providers from the Approved Provider List

QUESTIONS ?

KDOC Contact Information

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